

# **Anti-Money Laundering and Bank Secrecy Act Compliance School**

*August 19 & 20*

*Embassy Suites - Oklahoma City, OK*

A two-day program designed to encompass the broad range of topics included in the realm of the Bank Secrecy Act and Anti-Money Laundering.

- *Designing and Implementing a BSA Program*
- *Completing the Currency Transaction Report*
- *Exempting Eligible Customers from CTR Filing*
- *Overview of Regulatory and Statutory Components of BSA*
- *Customer Identification Programs*
- *Establishing a Risk-Based Program of Identifying and Classifying Your Customers*
- *Suspicious Activity Reporting - the Form, the Process*
- *Tools Available to Help Monitor Suspicious Activity*
- *How OFAC Fits into the Process*
- *Developing a Risk Assessment*

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Professional Bank Services

Recent Congressional focus and well-publicized BSA enforcement actions and fines continue to support a climate proving BSA compliance remains of paramount importance to all financial institutions. Treasury continues to rely on financial institutions to serve as the “front-line gatekeepers” for law enforcement efforts to safeguard the U.S. financial system from the abuses of financial crimes, including money laundering and terrorist financing. The federal regulatory agencies continue to rely on financial institutions to take “every reasonable step” to identify, minimize, and manage any risks that illicit financial activity may pose to the individual institution and the industry. Ongoing initiatives by the Financial Crimes Enforcement Network (FinCEN), and continued expansions of the federal examination procedures reinforce the need to have a strong BSA program at your institution.

This two-day school covers in detail the issues and opportunities in the ever-expanding environment of the Bank Secrecy Act, and reviews the entire realm of BSA from “A” to “Z.” From decades old Currency Transaction Reporting (CTR) and various recordkeeping requirements, to institutional risk assessment and client due diligence (CDD) expectations, students from the novice appointee to the tenured BSA professional will find the discussions and interactions to be of great value.

## **WHO SHOULD ATTEND**

This program is designed for personnel who have management- and/or functional-level responsibilities for BSA compliance and/or operations. Individuals with retail banking, risk management, compliance, audit, operational, corporate banking, and training responsibilities will benefit from this program. No advance preparation is required.

## DAY ONE – THE “BASICS”

- Statutory and Regulatory Requirements
- Enforcement Actions and the “Lessons Learned”
- Acronym Review and Sources of Information
- USA PATRIOT Act Requirements and Implications
- Currency Transaction Reporting
- Exemption “Opportunities” from CTR Reporting
- Recordkeeping Requirements
- Office of Foreign Asset Control (OFAC)

## DAY TWO – THE “CURRENT”

- Federal BSA/AML Exam Expectations
- Institutional Risk Assessments
- High-Risk Products, Services and Geographies
- Client Due Diligence (CDD)
- High-Risk Clients and Entities
- Suspicious Transactions, Terrorist Financing, and other Money Laundering Techniques
- Suspicious Activity Reporting (SAR)

This seminar assists financial institutions in meeting the annual training requirements imposed by the BSA regulations, and provides attendees with the opportunity to network with other professionals interested in BSA compliance.

## AGENDA FOR DAY ONE & TWO

Registration	8:30am
Program	9:00am - 4:00pm
Lunch	12:00pm

## CREDIT HOURS

Eligible for 12 CPE and 13.5 CRCM credits

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Financial Institution

Address

City

State

Zipcode

Telephone

Attendee #1

Email Address

Attendee #2

Email Address

Attendee #3

Email Address

Attendee #4

Email Address

## PAYMENT INFORMATION

Check Enclosed    Charge My:     MasterCard     Visa     Discover

Total Amount: \_\_\_\_\_

Card Number

Expiration Date

Card Holders Name

Authorized Signature

## REGISTRATION INFORMATION

Use the Promotion Code to receive the  
CBAO Special rate of \$525 per attendee  
*Normal Registration - \$625*

Promotion Code: BS2COK10

Register Online at  
[www.probank.com](http://www.probank.com)

Make Checks Payable to:  
Professional Bank Services, Inc.

Mail to:  
Education Division  
Professional Bank Services, Inc.  
Suite 305 6200 Dutchman's Lane  
Louisville, KY

Fax to:  
502-451-6755

QUESTIONS? CALL 800-523-4778.

For program questions, more information or refunds ask for ext. 205 or ext. 235. For administrative policies, such as complaint resolution, ask for ext. 237.