The New Business Account Interview: Meeting Regulator & Bank Requirements

WEBINAR OR ON-DEMAND WEB LINK
(LINK INCLUDES FREE CD ROM)

Tuesday, December 13, 2011

12 - 1:30 pm PT
1 - 2:30 pm MT
2 - 3:30 pm CT
3 - 4:30 pm ET

The new business account interview is the frontline protection against fraud, money laundering, and unlawful activity at your bank. It is not enough to just take the money and open the account. You have to do a thorough interview. With whom are you doing business? Who really owns the company? What does this company do/provide?

It has become the responsibility of the deposit specialist to determine if a company has any illegal activities that could negatively impact your bank. Many compliance tasks and due diligence requirements must be met during the new business account interview. This webinar will help you gather and use pertinent information to help you know your customer. Learn about the compliance tasks, worksheets, and requirements that must be met when you open an account.

Continuing Education: Attendance verification for CE credits provided upon request.

HIGHLIGHTS

- **Customer identification requirements for businesses: Who is exempt? Who is a customer?**
- **Customer due diligence requirements: gathering transaction data and using it**
- **Risk rating your business customer: products, services, and types of customers that cause concern**
- **Unlawful Internet gambling**
- **New rules in 2012 for Money Service Businesses – definitions and requirements are changing**
- **Understanding beneficial ownership**
- **The signature card, resolution, and disclosures – types of businesses and requirements**
- **Business debit card disclosures**
- **OFAC**
- **Red flags for identity theft**
- **Putting it all together in the interview and worksheets**

WHO SHOULD ATTEND?

This informative session would best suit new accounts representatives, branch managers, branch operations, deposit compliance officers, and frontline personnel who open business deposit accounts.

MEET THE PRESENTER

Deborah L. Crawford,
gettechnical inc

CLICK HERE TO LEARN MORE ABOUT YOUR REGISTRATION OPTIONS

To view prices or register please return to the webinar listing page, and click on the link to register.

Community Bankers Association of Oklahoma
REGISTRATION FORM

Date of Seminar	Name of Seminar	Live Webinar $230	On-Demand Link & Free CD Rom $230	Both Live Webinar & On-Demand Link (includes free CR Rom) $350	TOTAL
					$

***CD Rom for pc use only**

Name:______________________________ Bank:______________________________________

Street Address:____

City:__________________________________ State: __________ Zip:__________________

Phone:_________________________________ Fax:_____________________________________

Email: ____ (Email address is required.)

Hook up instructions and seminar materials will be emailed approximately

7 days prior to the seminar.

REGISTRATION AND PAYMENT INSTRUCTIONS – Please note invoices are not provided.

Mail registration form and check made payable to:

Financial Education and Development, Inc., P.O. Box 1780, Helena, MT 59624

Fax registration form, including credit card number to (406) 442-2357.

Important Note if Paying by Credit Card: This form will serve as your receipt. Charges will show up on your credit card statement from Financial Education and Development, Inc.

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Register Online:

https://www.financialedinc.com/sign_in.asp

***NOTE: It is best to have all payments and registrations to us 10 days prior to the seminar to ensure timely receipt of hook up instructions and handout materials. We will do our best to accommodate late registrations.**

FOR QUESTIONS EMAIL: CBAO@financialedinc.com FAX: (406) 442-2357